

Rajendra Modi Share Brokers Private Limited
(Member : NSE, BSE)

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1. POLICY ON CODE CHANGES

- We did not make any code changes in the back office without following the approved procedure by the exchanges.
- The code changes are made only for the correcting the mistakes by technical operator if it is learned well within time limit permitted by the exchange.
- Rigorous training imported to each and every technical operator and if he/she continues to mistake warning is to be issued.
- As soon as the code changes request is received, it is checked & verified who has committed mistake and brought to the notice to management and after obtaining approval verbally the code changes is quickly carried out. We would constitute genuine errors with regard to client code modification if.
 - Error due to communication and/or punching or typing such that the original client code/name and the modified client code/name are similar to each other.
 - Modification within relatives ('Relative' for the purpose would mean "Relative" as defined under the Companies Act, 1956 and 2013.
- We keep record of code changes and mistakes made by repetitive terminal operator to ensure such mistakes are minimized.
- Code changes module is not used any purpose other than this.
- We disclose the client codes which are classified as 'Error Accounts' to the Exchange at the time of UCC upload.
- We periodically review the trades flowing to the 'Error Account'.

Rajendra Modi
Director